

## Lecture 4 - POVMs and Pure State Tomography

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## 1 Generalized Measurements

We've previously discussed standard basis measurements. Recall that if you have a  $d$ -dimensional state  $|\psi\rangle$  and you measure in the standard basis, then the probability of an outcome  $a \in [d]$  is

$$\Pr_{|\psi\rangle}[a] = |\langle a|\psi\rangle|^2 .$$

However, there are more general kinds of measurements that allow us to measure in a basis other than the standard basis. We call these measurements *projective measurements*.

A set of matrices  $M = \{M_1, \dots, M_k\}$  is a  $k$ -outcome *projective measurement* if each  $M_a$  is a projector and  $M_1 + \dots + M_k = I$ , the identity matrix. The probability of obtaining outcome  $a \in [k]$  when measuring a state  $|\psi\rangle$  with projective measurement  $M$  is defined to be  $\langle \psi|M_a|\psi\rangle = \text{Tr}(M_a|\psi\rangle\langle\psi|)$ .

For example, if we want to measure in the orthogonal basis  $\{|b_j\rangle\}$ , an orthogonal basis for  $\mathbb{C}^d$ , then the corresponding projective measurement would be  $M = \{M_a\}_{a \in [d]}$  where  $M_a = |b_a\rangle\langle b_a|$ . A projective measurement of this form can be implemented using a unitary transformation plus a standard basis measurement in the following way: first, apply a unitary  $U$  to  $|\psi\rangle$  where  $U$  is a unitary that maps  $|b_a\rangle$  to the standard basis vector  $|a\rangle$ . Then measure  $U|\psi\rangle$  in the standard basis. We have that the probability of obtaining outcome  $a$  is equal to

$$|\langle a|U|\psi\rangle|^2 = |\langle b_a|\psi\rangle|^2$$

which is the same as if you directly measured  $|\psi\rangle$  using the projective measurements  $\{|b_a\rangle\langle b_a|\}_a$ .

### 1.1 Positive Operative Value Measure (POVM)

There is an even more general type of measurement we can perform on a quantum state  $|\psi\rangle \in \mathbb{C}^d$ . Suppose we do the following:

- Append a qubit to form the state  $|\psi\rangle \otimes |0\rangle$ .
- Measure the enlarged system  $|\psi\rangle \otimes |0\rangle$  using a projective measurement  $M = \{M_1, \dots, M_k\}$  that acts on the larger space  $\mathbb{C}^d \otimes \mathbb{C}^2$ .

The probability of obtaining outcome  $a \in [k]$  is, according to the foregoing discussion:

$$\Pr[a] = (\langle \psi| \otimes \langle 0|) M_a (|\psi\rangle \otimes |0\rangle) .$$

We can write with this probability in terms of  $|\psi\rangle$  and some other matrix  $Q_a$ . We label the systems in  $\mathbb{C}^d$  and  $\mathbb{C}^2$  as A and B, respectively, and “bring out” the  $|\psi\rangle$ . We can rewrite our final outcome probability equation as

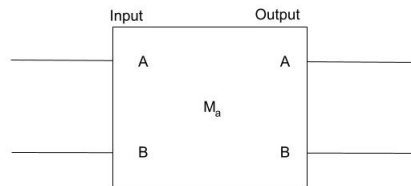
$$\begin{aligned} \Pr[a] &= (\langle\psi|_A \otimes \langle 0|_B) M_a (|\psi\rangle_A \otimes |0\rangle_B) \\ &= \langle\psi|_A (I_A \otimes \langle 0|_B) M_a (I_A \otimes |0\rangle_B) |\psi\rangle_A \\ &= \langle\psi|_A Q_a |\psi\rangle_A \end{aligned}$$

where we’ve defined  $Q_a$  to be the matrix

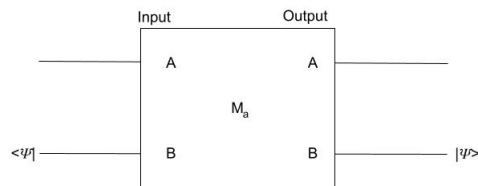
$$Q_a = (I_A \otimes \langle 0|_B) M_a (I_A \otimes |0\rangle_B) |\psi\rangle_A .$$

Note that this is a matrix that acts on the A system only. In other words,  $Q_a$  is a  $d \times d$  matrix.

One way to understand this is that we can visualize  $M_a$  as a box with two “wires” that transforms an input in the  $A \otimes B$  space into an output in that same space. Each of these two wires acts on one of these two spaces.



The operator  $Q_a$  can be thought of us “capping” the B wire (by multiplying it by the qubit vector  $|0\rangle$ ) of  $M_a$  so that the resulting operator takes inputs from the A space and produces outputs in the A space.



Another way of thinking about  $Q_a$  is that, in the appropriate basis, it is the upper left block of  $M_a$ :

$$M_a = \begin{pmatrix} Q_a & \cdots \\ \cdots & \cdots \end{pmatrix} .$$

The set of matrices  $Q = \{Q_a\}$  formed in this way from the projective matrices  $\{M_a\}$  is called a *Positive Operative Value Measure (POVM)*. More formally, a *k-outcome POVM* is a set  $Q = \{Q_1, \dots, Q_k\}$  of matrices such that  $Q_a$  is PSD for all  $a \in [k]$  and  $\sum_a Q_a = I$ . The probability of obtaining outcome  $a$  when measuring  $|\psi\rangle$  is equal to  $\langle\psi|Q_a|\psi\rangle$ .

## 2 Continuous POVMs

So far measurements only have finitely many outcomes. What if we want to talk about measurements that return a value from an infinite, or even continuous, set? For example, one can imagine performing a measurement on a particle to determine its location. We would expect a real number out.

Let  $\Omega$  be an outcome space (which is potentially infinite) with a *measure*  $dx$ , which intuitively is a way of assigning numbers to various region within the space (such as length, area, volume, etc. depending on dimension). Then a *continuous POVM* over  $\Omega$  is a collection of matrices  $Q = \{Q_x\}_{x \in \Omega}$  such that each  $Q_x$  is PSD and

$$\int Q_x dx = I .$$

We can compare this to its discrete POVM counterpart,  $\sum_x Q_x = I$ .

Suppose we measure a state  $|\psi\rangle$  with a continuous POVM  $Q$ . If  $\Omega$  is an infinite space, such as the real line  $\mathbb{R}$ , then intuitively we expect the probability of obtaining any specific outcome  $x \in \Omega$  to be 0 (just like how the probability of sampling any specific real number from the Gaussian distribution is 0); instead we measure the probability of obtaining an outcome in a (measurable) region  $S \subseteq \Omega$ :

$$\Pr_{|\psi\rangle}[x \in S] = \int_S \langle \psi | Q_x | \psi \rangle dx .$$

Furthermore, suppose we have a function  $f : \Omega \rightarrow \mathbb{R}$  and we want to determine the *average* value of  $f$  if we measure a state  $|\psi\rangle$ , obtain value  $x \in \Omega$ , and evaluate  $f(x)$ :

$$\mathbb{E}_{|\psi\rangle}[f(x)] = \int_{\Omega} \langle \psi | Q_x | \psi \rangle f(x) dx .$$

## 3 Pure State Tomography

Recall that we've previously discussed a simple tomography algorithm for mixed states; it has sample complexity  $\tilde{O}(d^6)$  where  $d$  is the dimension. We will now discuss an algorithm for pure state tomography that is more efficient: it only requires  $O(d)$  copies of the input state. This comes close to the lower bound of  $\Omega\left(\frac{d}{\log d}\right)$  that we proved.

Suppose we are performing tomography on  $d$ -dimensional pure states. Define the outcome space  $\Omega$  to be  $S(\mathbb{C}^d)$ , the set of unit vectors in  $\mathbb{C}^d$ . This is naturally endowed with the Haar measure, which we denote by  $d\theta$ . Define the continuous POVM  $Q = \{Q_{|\theta\rangle}\}_{|\theta\rangle \in \Omega}$  as follows:

$$Q_{|\theta\rangle} = |\theta\rangle\langle\theta|^{\otimes k} \binom{k+d-1}{k} .$$

Note that there is a matrix  $Q_{|\theta\rangle}$  for every  $|\theta\rangle \in \mathbb{C}^d$ , and the matrix acts on the space  $(\mathbb{C}^d)^{\otimes k}$ . The matrix  $Q_{|\theta\rangle}$  is clearly PSD, and furthermore

$$\int Q_{|\theta\rangle} d\theta = \binom{k+d-1}{k} \int |\theta\rangle\langle\theta|^{\otimes k} d\theta = \binom{k+d-1}{k} \frac{P_{d,k}^{\text{sym}}}{\text{Tr}(P_{d,k}^{\text{sym}})} = P_{d,k}^{\text{sym}}$$

where we used our formula for integrating  $|\theta\rangle\langle\theta|^{\otimes k}$  over the Haar measure. One might be worried that this is not a valid continuous POVM because the integral is not the identity matrix. However, for all intents and purposes it is, because we are only going to measure states of the form  $|\psi\rangle^{\otimes k}$ , which is a member of the symmetric subspace  $\text{Sym}(d, k)$ , for which the projection  $P_{d,k}^{\text{sym}}$  is effectively the identity matrix. Thus we can treat  $Q$  as a valid continuous POVM.

**The algorithm.** Given  $|\psi\rangle^{\otimes k}$  for some  $|\psi\rangle \in \mathbb{C}^d$ , we perform the continuous POVM  $Q$  on it to obtain an outcome  $|\theta\rangle \in \mathbb{C}^d$ . Ideally, this outcome should be equal to  $|\psi\rangle$  but it won't be exactly. How close of an estimate is it? We can measure this by considering the *squared overlap* between  $|\psi\rangle$  and  $|\theta\rangle$ . Define the function  $f(|\theta\rangle) = |\langle\theta|\psi\rangle|^2$  where  $|\psi\rangle$  is the unknown state. We want to know what  $f(|\theta\rangle)$  is on average. According to our formula:

$$\begin{aligned}
\mathbb{E} [f(x)] &= \int \langle\psi|^{\otimes k} Q_{|\theta\rangle} |\psi\rangle^{\otimes k} f(|\theta\rangle) d\theta \\
&= \binom{k+d-1}{k} \int \langle\psi|^{\otimes k} (|\theta\rangle\langle\theta|^{\otimes k}) |\psi\rangle^{\otimes k} \cdot |\langle\theta|\psi\rangle|^2 d\theta && \text{(Definition of } Q_{|\theta\rangle}\text{)} \\
&= \binom{k+d-1}{k} \int \langle\psi|^{\otimes k+1} (|\theta\rangle\langle\theta|^{\otimes k+1}) |\psi\rangle^{\otimes k+1} d\theta \\
&= \binom{k+d-1}{k} \langle\psi|^{\otimes k+1} \left( \int |\theta\rangle\langle\theta|^{\otimes k+1} d\theta \right) |\psi\rangle^{\otimes k+1} \\
&= \binom{k+d-1}{k} \langle\psi|^{\otimes k+1} \cdot \frac{P_{d,k+1}^{\text{sym}}}{\text{Tr}(P_{d,k+1}^{\text{sym}})} |\psi\rangle^{\otimes k+1} && \text{(Formula for integral)} \\
&= \binom{k+d-1}{k} \cdot \binom{k+d}{k+1}^{-1} && \text{(Dimension of } P_{d,k+1}^{\text{sym}}\text{)} \\
&= \frac{(k+d-1)!}{k!(d-1)!} \cdot \frac{(k+1)!(d-1)!}{(k+d)!} \\
&= \frac{k+1}{k+d}.
\end{aligned}$$

Suppose we set  $k = d/\varepsilon$ . Then this quantity is  $1 - O(\varepsilon)$ , which means that on average the output of the tomography algorithm will have high overlap with the unknown input state  $|\psi\rangle$ .